

Completed

03/16/2006 14:25 FAX 2155686499

V & K MAILROOM2

001

**Rec'd PCT/PTO 16 MAR 2006**



United Plaza, Suite 1600  
30 South 17th Street  
Philadelphia, PA 19103

Telephone: +1-215-568-6400  
Facsimile: +1-215-568-6499  
[www.volpe-koenig.com](http://www.volpe-koenig.com)

BRINGING LAW TO YOUR IDEAS

[mail@volpe-koenig.com](mailto:mail@volpe-koenig.com)

**FACSIMILE COVER SHEET**

TO: PCT Branch

FAX NO.: 571-273-3201

FROM: Randolph J. Huis; Registration No. 34,626

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Magerl et al.

**Application No.:** 10/534,293

**Confirmation No.:** 1069

**Filed:** May 9, 2005

**For:** IMPLANT USED IN PROCEDURES FOR  
STIFFENING THE VERTEBRAL COLUMN

**Group:** 3738

**Examiner:** Not yet known

**Our File:** LUD-PT015

**Date:** March 16, 2006

**VIA FACSIMILE  
TO 571-273-3201**

BEST AVAILABLE COPY

Enclosed are:

- 1) A Request For Corrected Filing Receipt, 2 pages; and
- 2) A marked-up copy of the Filing Receipt, 3 pages.

Comments:

NOTIFY DIANE THATCHER IF TRANSMISSION IS NOT COMPLETE OR  
LEGIBLE.

I hereby certify that this paper is being transmitted via facsimile to 571-273-3201 at the United States  
Patent and Trademark Office on March 16, 2006.

Diane Thatcher

March 16, 2006  
Date

*Patents*

*Trademarks*

*Copyrights*

*Trade Secrets*

*Litigation*

*Licensing*

2560000000

16 MAR 2006

03/16/2006 14:25 FAX 2155686499

V & K MAILROOM2

002

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the **PATENT APPLICATION** of:

Magerl et al.

**Application No.:** 10/534,293

**Confirmation No.:** 1069

**Filed:** May 9, 2005

**For:** IMPLANT USED IN PROCEDURES FOR  
STIFFENING THE VERTEBRAL COLUMN

**Group:** 3738

**Examiner:** Not yet known

**Our File:** LUD-PT015

**Date:** March 16, 2006

**VIA FACSIMILE**

**TO 571-273-3201**

**REQUEST FOR CORRECTED FILING RECEIPT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a marked-up copy of the Filing Receipt for the above-identified patent application. Upon proofing, it was noted that the Filing Receipt is incorrect. There is one change that needs to be made.

With respect to the assignee's country, please delete "Switzerland" and insert therefor --Liechtenstein--.

BEST AVAILABLE COPY

**Applicant:** Magerl et al.  
**Application No.:** 10/534,293

Applicants respectfully request that a corrected Filing Receipt be issued.

Respectfully submitted,

Magerl et al.

By 

Randolph J. Huis  
Registration No. 34,626  
(215) 568-6400

Volpe and Koenig, P.C.  
United Plaza, Suite 1600  
30 South 17th Street  
Philadelphia, PA 19103

RJH/dt  
Enclosure

BEST AVAILABLE COPY

03/16/2006 14:25 FAX 2155686499 V & K MAILROOM2 004 Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/534,293	05/09/2005	3738	525	LUD-PT015 (PA1144+45US)	2	23	1

RECEIVED

AM/PM

CONFIRMATION NO. 1069

3624  
VOLPE AND KOENIG, P.C.  
UNITED PLAZA, SUITE 1600  
30 SOUTH 17TH STREET  
PHILADELPHIA, PA 19103

MAR 10 2006

VOLPE & KOENIG, P.C.

FILING RECEIPT



\*OC000000018111995\*

Date Mailed: 03/02/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Fritz Magerl, St. Gallen, SWITZERLAND;  
Roger Stadler, Zurich, SWITZERLAND;

Assignment For Published Patent Application

Septec Foundation, Vaduz, SWITZERLAND

LIECHTENSTEIN

Power of Attorney: The patent practitioners associated with Customer Number 3624.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/12762 11/14/2003

Foreign Applications

GERMANY 102 53 169.2 11/14/2002  
GERMANY 102 53 170.6 11/14/2002

If Required, Foreign Filing License Granted: 02/22/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/534,293

Projected Publication Date: 06/01/2006

Non-Publication Request: No

LOCKETED FOR - 4/9/2006 - Request for Corrected Filing Receipt

BEST AVAILABLE COPY

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Implant used in procedures for stiffening the vertebral column

**Preliminary Class**

123

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier

license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BEST AVAILABLE COPY